RESULTS FRAMEWORK DOCUMENT
(RFD)

FOR

2014-2015

उद्यमी लभते सिद्धिम्

niesbud

THE NATIONAL INSTITUTE FOR ENTREPRENEURSHIP AND SMALL BUSINESS DEVELOPMENT, (NIESBUD)

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SECTION – I
VISION, MISSION, OBJECTIVES AND FUNCTIONS

Vision
To aid and assist the spread of entrepreneurial culture among different target groups.

Mission
To be in a position to promote enterprise creation and provide sustenance to enterprises through different interventions : training, research, consultancy, mentoring services etc.

Objectives

1. To promote and develop entrepreneurship through training interventions for different target groups.

2. To assist the entrepreneurial process through undertaking research/ evaluation/impact assessment studies and consultancy assignments.

3. To provide consultancy and mentoring service to potential entrepreneurs and enhancing employability of the participants.

4. To render different specialized services : Cluster Intervention(s) and Intellectual Property Facilitation.

5. To develop the capabilities of different grassroot organizations through empanelling them as Partner Institutions or otherwise and conduct of training and other activities through/with them for increasing the outreach of activities of the Institute.

6. To promote greater use of different tools of Information Technology in the activities/functions of the Institute.

Functions

1. Designing and organising training activities for different target groups including improving the efficiency, effectiveness and delivery of the change agents and development practitioners i.e. trainers/promoters, development functionaries;, support organisations engaged in enterprise building, etc.

2. Undertaking research/evaluation/assessment etc. in the fields relevant to entrepreneurship development.

3. Providing mentoring services to the prospective entrepreneurs and specialized services to the existing entrepreneurs.

4. Developing/strengthening the capabilities of different organizations through conduct of collaborative activities and in the process also increasing the outreach of activities of the Institute.

SECTION – II
INTER SE PRIORITIES AMONG KEY OBJECTIVES, SUCCESS INDICATORS AND TARGETS

Objective	Weight	Action	Success Indicator	Weight	Unit	Target/Criteria Value				
						Excellent	**Very Good**	**Good**	**Fair**	**Poor**
						100%	**90%**	**80%**	**70%**	**60%**
1) To promote and develop entrepreneurship through training interventions for different target groups	**50**	1.1 Conduct of training programmes (sponsored)	1.1.1. Training Programmes conducted	10	No.	1700	1530	1360	1190	1020
		1.2 Conduct of training programmes (Non-sponsored)	1.2.1. Training Programmes conducted		No.	2500	2250	2000	1750	1500
		1.2 Special thrust on different sections of participants	1.2.1. Women (General) trained	03	No.	30000	27000	24000	21000	18000
			1.2.2. SCs trained	03	No.	17000	15300	13600	11900	10200
			1.2.3 STs trained	03	No.	8000	7200	6400	5600	4800
			1.2.4. Minorities trained	03	No.	1000	900	800	700	600
			1.2.5. Differently Abled persons trained	03	No.	550	495	440	385	330
			1.2.6. International Participants trained	05	No.	250	225	200	175	150
			1.2.7. Others trained	10	No.	50000	45000	40000	35000	30000
		1.3 Conduct of market driven/paid programmes	1.3.1 Training Programmes conducted	10	No.	100	90	80	70	60
2) To assist the entrepreneurial process through research/ evaluation etc.	**07**	2.1 Sponsored Evaluation/ Impact Assessment Studies and Consultancy Assignments	2.1.1 Award of Studies etc. through Open Bidding	01	No.	05	04	03	02	01
			2.1.2 Completion of Studies etc.	04	No.	07	06	05	04	03
			2.1.3 Consultancy Assignments	02	No.	01	01	01	X	X

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Objective	Weight	Action	Success Indicator	Weight	Unit	Target/Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
3) To provide consultancy and mentoring service to potential entrepreneurs and enhancing employability of the participants	10	3.1 To provide consultancy and mentoring service to potential entrepreneurs and enhancing employability of the participants.	3.1.1. Enrolment of participants for handholding support	03	No.	900	810	720	630	540
			3.1.2. Trained Persons Employed	04	No.	9000	8100	7200	6300	5400
			3.1.3 Setting up of Units by the participants	03	No.	500	450	400	350	300
4) To start long term course in Entrepreneurship	08	4.1 Starting One year Diploma	4.1.1. Obtaining necessary Approvals for AICTE	08	Date	20/3/2015	31/3/2015	5/4/2015	10/4/2015	20/4/2015
5) To develop capabilities of PIs etc. and organizing activities through/with them for increasing the outreach	02	5.1 Assistance to Training Institutions	5.1.1 Capacity Building and Assessment of associated Institutions	02	No.	100	90	80	70	60
6) To promote greater use of Information Technology in the activities/ functions of the Institute	04	6.1 Promotion and use of IT	6.1.1. Continuous Improvement of the Developed Framework for MIS	02	Date	30/9/2014	31/10/2014	29/11/2014	31/12/2014	31/1/2015
			6.1.2 Video Conference assisted EDP/ E-learning	02	No.	5	4	3	2	1
7) Compliance of Statutory Responsibilities	08	7. 1 Holding of Statutory Meetings	7.1.1 Holding of Meetings of the Governing Council and Executive Committee as per the prescribed time frame	04	No.	5	4	3	2	1
		7.2 Placing Annual Report (2012-13) together with Audited Accounts on the Table of Both the Houses of Parliament	7.2.1 Sending requisite copies of the Annual Report (2013-14) to the Ministry	04	Date	17/12/2014	25/01/2015	21/02/2015	01/03/2015	10/03/15

Objective	Weight	Action	Success Indicator	Weight	Unit	Target/Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
8) Enhanced Transparecy/Improving Internal Efficiency/ Responsiveness/ Service Delivery	03	8.1. Independent Audit of Implementation of Citizens' Charter	8.1.1 Degree of success in implementation of commitments in CCC	02	%	100	95	90	85	80
		8.2 Independent Audit of Implementation of Public Grievance Redressal System	8.2.1 Degree of success in implementing GRM	01	%	100	95	90	85	80
9) Efficient Functioning of the RFD System	03	9.1 Timely submission of Draft RFD (2014-15) for approval	9.1.1 On-time submission	02	Date	15/5/2014	16/5/2014	19/5/2014	20/5/2014	21/5/2014
		9.2 Timely submission of Results for RFD (2013-14)	9.2.1 On-time submission	01	Date	1/5/2014	2/5/2014	3/5/2014	4/5/2014	5/5/2014
10) Administrative Reforms	05	Update organizational strategy to align with revised priorities	Date	02	Date	1/11/2014	2/11/2014	3/11/2014	4/11/2014	5/11/2014
		10.1 Implementation of agreed milestones of approved Mitigating Strategies for Reduction of potential risk of corruption (MSC)	10.1.1. % Implementation	01	%	100	95	90	85	80
		10.2 Implementation of agreed milestones for ISO 9001	10.2.1. % Implementation	02	%	100	95	90	85	80
		10.3 Implementation of milestones of approved Innovation Action Plans (IAPs)	10.3.1. % Implementation	02	%	100	95	90	85	80

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SECTION – III
TREND VALUES OF THE SUCCESS INDICATORS

Objective	Action	Success Indicator	Unit	Actual for 2010-11	Actual for 2011-12	Actual for 2012-13	Actual for 2013-14	Projected Value for 2014-15 (100%)	Projected Value for 2015-16 (100%)
1) To promote and develop entrepreneurship through training interventions for different target groups	1.1 Conduct of training programmes (sponsored)	1.1.1. Training Programmes conducted	No.	918	1433	1923	1524	1700	1850
	1.2 Conduct of training programmes (non-sponsored)	1.2.1 Training Programmes conducted	No.	20	35	151	2362	2500	2750
	1.3 Special thrust on different sections of participants	1.3.1. Women (General) trained	No.	9041***	6478	3550	28111	30000	32500
		1.3.2. SCs trained	No.	10830	10116	10683	16411	17000	17500
		1.3.3 STs trained	No.	4468	4588	8132	7051	8000	9000
		1.3.4. Minorities trained	No.	1506	1088	680	960	1000	1250
		1.3.5. Differently Abled persons trained	No.	X	X	X	500	550	X
		1.3.6. International Participants trained	No.	113	218	245	252	250	260
		1.3.7. Others trained	No.	10859	16345	20693	40125	49800	55510
	1.4 Conduct of market driven/paid programmes	1.4.1 Training Programmes conducted	No.	20	35	151	364	375	380
2) To assist the entrepreneurial process through research/ evaluation etc.	2.1 Sponsored Evaluation/ Impact Assessment Studies and Consultancy Assignments	2.1.1 Award of Studies etc. through Open Bidding	No.	X	01	11	15	16	17
		2.1.2 Completion of Studies etc.	No.	X	01	09	17	14	15
		2.1.3 Consultancy Assignments	No.	X	X	X	X	01	01

*   *These are as per figures incorporated in RFD for the year.*
***   *The number of women participants during 2010-11, 2011-12 ,2012-13 & 2013-14has also been incorporated in the remaining three categories – SC/ST/Minority.*

Objective	Action	Success Indicator	Unit	Actual for 2010-11	Actual for 2011-12	Actual for 2012-13	Actual for 2013-14	Projected Value for 2014-15 (100%)	Projected Value for 2015-16 (100%)
3) To provide consultancy and mentoring service to potential entrepreneurs and enhancing employability of the participants	3.1 To provide consultancy and mentoring service to potential entrepreneurs and enhancing employability of the participants.	3.1.1. Enrolment of participants for handholding support	No.	1294	1378	960	940	950	1000
		3.1.2. Trained Persons Employed	No.	6180	6373	20056	6807	8000	10000
		3.1.3 Setting up of Units by the participants	No.	92	398	634	940	950	1000
4) To start long term course in Entrepreneurship	4.1 Starting two years P.G. Diploma	4.1.1. Obtaining necessary Approvals for AICTE	Date	X	X	X	30/3/14	30/4/2014	X
	4.2 Starting One year Diploma	4.2.1 Obtaining necessary Approvals for AICTE	Date	X	X	X	X	20/3/2015	20/3/2016
5) To develop capabilities of Institutions etc. and organizing activities through/with them for increasing the outreach	5.1 Assistance to Training Institutions	5.1.1 Capacity Building and Assessment of associated Institutions	No.	21	48	64	168	100	120

Objective	Action	Success Indicator	Unit	Actual for 2010-11	Actual for 2011-12	Actual for 2012-13	Actual for 2013-14 (100%)	Projected Value for 2014-15 (100%)	Projected Value for 2015-16 (100%)
6) To promote greater use of Information Technology in the activities/ functions of the Institute	6.1 Promotion and use of IT	6.1.1. Developing Framework for MIS	Date	X	X	X	30/09/2013	X	X
		6.1.2 Maintenance and working on continual development of new web pages of the developed MIS	Date	X	X	X	X	30/09/2014	30/09/2015
		6.1.2 Video Conference assisted EDP/E-learning	No.	X	X	X	05	05	05
7) Compliance of Statutory Responsibilities	7. 1 Holding of Statutory Meetings	7.1.1 Holding of Meetings of the Governing Council and Executive Committee as per the prescribed time frame	No.	03	04	04	05	05	05
	7.2 Placing Annual Report together with Audited Accounts on the Table of Both the Houses of Parliament	7.2.1 Sending requisite copies of the Annual Report to the Ministry	Date	23/11/2011	10/12/2012	22/11/2013	8/12/2014	7/12/2015	7/12/2016

Objective	Action	Success Indicator	Unit	Actual for 2010-11	Actual for 2011-12	Actual for 2012-13	Actual for 2013-14	Projected Value for 2014-15 (100%)	Projected Value for 2015-16 (100%)
8) Improving Internal Efficiency/ Responsiveness/ Service Delivery	8.1 Implementation of Sevottam	8.1.1 Independent Audit of Implementation of Citizens' Charter	%	X	X	100	100	100	100
		8.1.2 Independent Audit of Implementation of Public Grievance Redressal System	%	X	X	100	100	100	100
9) Efficient Functioning of the RFD System	9.1 Timely submission of Draft RFD (2014-15) for approval	9.1.1 On-time submission	Date	17/1/2011	24/2/2012	23/4/2012	1/3/2013	15/5/2014	1/3/2015
	9.2 Timely submission of Results for RFD (2014-15)	9.2.1 On-time submission	Date	X	X	1/5/2013	1/5/2014	1/5/2015	1/5/2016
10) Administrative Reforms	Update organizational strategy to align with revised priorities	Date	Date	X	X	X	X	1/11/14	1/11/15
	10.1 Implementation of agreed milestones of approved Mitigating Strategies for Reduction of potential risk of corruption (MSC)	10.1.1. % Implementation	%	X	X	X	X	100	100
	10.2 Implementation of agreed milestones for ISO 9001	10.2.1. % Implementation	%	X	X	X	100	X	X
	10.3 Implementation of milestones of approved Innovation Action Plans (IAPs)	10.3.1. % Implementation	%	X	X	X	100	100	100

SECTION – IV
DESCRIPTION AND DEFINITION OF SUCCESS INDICATORS AND PROPOSED MEASUREMENT METHODOLOGY

1)   Training Programmes

The Training Programmes are considered complete and taken into account when the same have run for the prescribed duration in terms of the respective course schedules.

2)   Persons Trained

A person/beneficiary is considered to be trained and so counted when he/she has attended the programme for the full duration and has also been awarded the Certificate etc. by the Institute.

3)   Evaluation/Impact Assessment Studies and Consultancy Assignments

These are generally sponsored activities and are considered to be complete and accordingly accounted for upon submission of the Draft/Final Reports to the Sponsorers.

A study etc. is considered to be awarded upon receipt of intimation/award letter from the sponsorer.

4)   Enrolment of Participants for Hand-holding Support

The participants of the EDPs/ESDPs are encouraged to go in for self-employment. For this purpose, they are enrolled for provision of Hand-holding Assistance under the Rajiv Gandhi Udyami Mitra Yojana (RGUMY) with an objective to assist in the entire range of activities from germination of the idea to actual setting up of the enterprise. A participant is considered enrolled upon registration for the purpose which is followed by detailed personal discussions.

5)   Placement of Trainees

A participant is considered to be successfully placed in a remunerative employment when intimation to this effect is received and the same is also randomly confirmed from the concerned participant telephonically.

6)   Setting up of Units

The setting up of Units is considered complete when the activities therein have actually started.

7)   Commencement of Long term Courses in Entrepreneurship

The long term courses (more than one year) can only be started after getting necessary approval from All India Council of Technical Education (AICTE).

8)   Capacity Building of Associated Institutions

The Institute takes help of many Institutions throughout the country to utilize their infrastructure for conduct of training programmes..

9)   Implement ISO Plan

This will be considered as implemented with initiation of the process of grant of the Standard.

SPECIFIC PERFORMANCE REQUIREMENTS FROM OTHER DEPARTMENTS

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
1) Ministry of MSME	Training Programmes conducted	Approval of the proposed training and other activities and release of the funds involved	Owing to inability of beneficiaries of these activities due to their poor economic position to afford the charges for these training activities	Programmes: 1700, Participants: 42500	The targets as specified will not be achieved.
	Enrolment of participants for handholding support			Prescribed assistance under RGUMY for 900 beneficiaries	
2) Uttar Skill Development Mission	Training Programmes conducted		These activities are specially planned for their respective sponsored target groups	Programmes 200, Participants 5000	
3) Ministry of External Affairs	International Participants trained		These programmes are specially conducted for foreign participants sponsored by the Ministry	Programmes 15, Participants 225	
4) Office of the DC (MSME)	Completion of Hard Intervention in Scissors Cluster, Meerut	Budgetary Support	This is as per approved DPR	Rs. 198.188 lakh	

*   *Besides, the Research/Consultancy are sponsored by different Public Agencies*

SECTION – VI
OUTCOMES/IMPACT OF ACTIVITIES OF NIESBUD

1	2	3	4	5	6	7	8	9	10
Sl. No.	Outcome/ Impact of Organisation/ RC	Jointly responsible for influencing this outcome/ impact with the following organisation (s)/ departments/ ministry(ies)	Success Indicator	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-16
1.	Assisting Enterprise Creation; providing wage employment, improving the capabilities of MSMEs/ Support Organizations and providing support services	Ministry of MSME, Govt. of India	Number of persons trained	25,864	36,337	51,552	99560	1,00,000	1,10,000

_____   _____

Joint Secretary   Director General
Ministry of MSME   NIESBUD

Place: _____   _____
Date: _____   _____

TABLE OF ABBREVIATION

Abbreviation	Full Form
SC	Scheduled Caste
ST	Scheduled Tribe
IP	Intellectual Property
PI	Partner Institution
MIS	Management Information System
EDP	Entrepreneurship Development Programme
ESDP	Entrepreneurship-cum-Skill Development Programme
MSMEs	Micro, Small and Medium Enterprises
DC (MSME)	Development Commissioner (Micro, Small & Medium Enterprises)
ATI	Assistance to Training Institutions
MoU	Memorandum of Understanding
DPR	Detailed Project Report
HUPA	Housing & Urban Poverty Alleviation